

KNOW YOUR CUSTOMER(KYC)
APPLICATION FORM
INDIVIDUAL



TRE Certificate Holder - 149 Pakistan Stock Exchange Limited (Formerly Karachi Stock Exchange Ltd.) Broker Registration No. BRK-169

JS Global Capital Limited REGISTERED OFFICE:

The Center, 17th & 18th Floor, Plot No. 28, S.B.5, Abdullah Haroon Road, Karachi. **UAN:** (92-21) 111-574-111 **Fax:** (92-21) 32800167

KNOW YOUR CLIENT (KYC) APPLICATION FORM

INDIVIDUAL

(Please use BLOCK LETTERS to fill the form)

A. IDENTITY DETAILS OF AP	PLICANT	
1. Full name of Applicant (As per CN	NIC/SNIC/NICOP/ARC/POC/Passport) Mr.,	/Mrs./Ms
2. Fathawa / Husband / A Names		
3. (a) Nationality:	(b) Marital status: Sing	le Married (c) Status: Resident Non-Resident
4. (a) CNIC/SNIC/NICOP/ARC/POC N	o:	(b) Expiry Date:
5. Passport details: Passport Num (For a foreigner or a non-resident Pakistani)	ber:	Place of Issue:
Date of Issue:		Date of Expiry:
6. Date of Birth: DD/MM		
B. ADDRESS DETAILS OF AP	PLICANT	
4 / \ \ A A - !! A . ! !		
3	d intermediary business address except for employ	
City/Town/Village:	Province/State:	Country:
(b) Tel. (Off.)*:	(c) Tel. (Res.)*:	(d) Mobile:
(e) Email*:		(f) Fax*:
Specify the proof of address submit	ted for mailing address:	
2. (a) Permanent Address:		
City/Town/Village:	Province/State:	Country:
(b) Tel. (Off.)*:	(c) Tel. (Res.)*:	(d) Mobile:
(e) Email (If any):		(f) Fax*:
Specify the proof of address submit	ted for permanent address:	
Signature of the Applicant		Authorized Signatory

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C. OTHER DETAILS						
1. Gross Annual Income Details (please specify): Below Rs. 100,001 Rs. 250,001 - Rs. 500,000 Rs. 1,000,001 - Rs. 2,500,000 Rs. 100,001 - Rs. 250,000 Above Rs 2,500,001						
2. Source of Income:						
3. Shareholder's/Unit Holder's Category: INDIVIDUAL						
4. (a) Occupation: [Please tick (✓) the appropriate box]:						
Agriculturist Business Housewife Household Retired Person Student						
Business Executive Industrialist Professional Service Govt./Public Sector Others (Specify)						
(b) Name of Employer/Business:						
(Include symbol if employer listed company)						
(c) Job Title/Designation: (d) Department:						
(e) Address of Employer/Business:						
D. BANK DETAILS						
Bank Name:						
IBAN No.:						
Branch Address:						
branch Address.						
E. DECLARATION						
I hereby confirm that all the information furnished above is true and correct to the best of my knowledge and belief and I undertake to inform you of any changes therein, immediately. In case any of the above information is found to be untrue or false or misleading or misrepresenting, I am aware that I may be held liable for it.						
Date:						
Signature of the Applicant (dd/mm/yyyy) Signature of the Applicant as per CNIC/SNIC/NICOP/ARC/POC/Passport^ No (Only applicable if Applicant signature is different)						
FOR OFFICE USE ONLY						
* Optional Applicable for opening Sahulat Accounts for individuals who wish to undergo simplified KYC. ** For NICOP/ARC/POC/Passport, Email is mandatory and Mobile Number is Optional. Whereas for CNIC/SNIC, Mobile Number is Mandatory and Email is Optional. Incase of SNIC where country of stay is not Pakistan, email will be mandatory. *** IBAN shall be mandatory for all Customers subject to any exception available under applicable laws, rules, regulations etc.						
Authorized Signatory Date Seal/Stamp of the Authorized Intermediary						

Terms & Conditions of the KYC Application Form

- 1. All terms herein shall, unless expressly stated otherwise, have the same meaning as ascribed to them in the Centralized KYC Organization Regulations.
- 2. The information provided in KYC application form and/or CRF shall be in addition to and not in derogation of the requirements prescribed under Anti-Money Laundering and Countering Financing of Terrorism Regulations, 2018.
- 3. All correspondence shall be sent by CKO at the mailing address and/or email address of the Customer, as stated on the KYC Application Form.
- 4. Neither the CKO nor its directors, officers, employees or agents shall be liable for losses, damages, liabilities, costs or expenses suffered or incurred by the Customer as a result of providing its KYC Information to Authorized Intermediaries or the CKO due to any reasons whatsoever including its unauthorized disclosure.
- 5. The Customer undertakes to indemnify the CKO against any losses, damages, liabilities, costs or expenses suffered or incurred by CKO, including any legal costs and claims by third parties, as a result of any inaccuracy, misrepresentation, misstatement or incorrect details in the information supplied by the Customer or any omission in such information or any other contravention or violation of the Centralized KYC Organization Regulations
- 6. The Customer agrees that in the event that he does not abide by the timelines prescribed in the Centralized KYC Organization Regulations for submission of information and confirmation to the NCCPL, the NCCPL shall be authorized to take action as prescribed in the Centralized KYC Organization Regulations. The Customer undertakes that it shall hold CKO harmless and that CKO shall not be liable for any losses, damages, liabilities, costs or expenses suffered or incurred by the Customer as a result of such actions.
- 7. The Customer agrees that CKO may hold, store and process its KYC Information on the KYC Information System and KYC Database in connection with its KYC functions under the Centralized KYC Organization Regulations. The Customer also agrees that CKO may disclose its KYC Information as permitted under the CKO Regulations and such other disclosures as may be reasonably necessary for compliance with any other laws or regulatory requirements.
- 8. The Customer acknowledges that KYC Information System and KYC Database, including but not limited to all the information contained therein is the legal property of CKO.
- 9. The Authorized Intermediaries agree to pay CKO the fees and charges as prescribed by CKO from time to time in respect of its KYC functions.
- 10. CKO has absolute discretion to amend or supplement any of the terms and conditions at anytime and will endeavor to give prior notice of fifteen days wherever feasible for such changes.
- 11. The Customer agrees and affirms that it shall be bound by and acts in accordance with the provisions of the Centralized KYC Organization Regulations.
- 12. These terms and conditions shall be governed by the laws of Pakistan.

Enclosures*

- 1. Copies of CNIC, SNIC, NICOP, ARC, POC and/or passport* where applicable
- 2. Proof of mailing/permanent address*

 Note: In case the address provided is same as in CNIC, no additional document is mandatory. In other cases, any of the following documents shall be obtained: Utility bills; rental agreement; insurance policy.
- 3. Employer Details (for salaried persons) *
 Copy of service card or any other acceptable evidence of service, such as certificate from the employer.
- 4. Proof of business for self-employed persons*.

	now Your Customer (KYC) / Customer Due	Diligence (CDD) Inform	nation - Mandatory			
a)	Birth Information: Date of Birth:	City:	Country:			
b)	Type of Customer	DVP	☐ Non-DVP	☐ IDS		
c)	Public Figure / Politically Exposed Person / Family M (Includes Heads of State or of government, senior posenior executive of state owned corporations, imposinternational organization.) (If Yes please tick appropriate box)	oliticians, senior government,	/judicial/military officials of			
d)	Are you a family members or close associate of any I	Public Figure / Politically Expo	osed Person.	_		
	Yes No (If Yes provide	details OF PEP)				
	Name: Designa	tion:				
	Function: Relation	ship with Client:				
e)	If you are acting and investing on behalf of any other ultimate beneficiary. (Note: Mandatory for Housewife/Household/Studen		y) please provide the follov	ving details of the		
	Name of Ultimate Beneficial owner:		Relationship with the Cust	omer:		
	CNIC/ NICOP/ Passport No.:					
f)	Has any financial institution refused to open your acceptage. Yes No (if Yes, provide Name of Financial Institution:	e details)	ason For Refusal:			
g)	Do you have any links to offshore tax haven countrie					
97	☐ Yes ☐ No					
h)	Do you deal in high value item such as Silver, Gold? Yes No					
i)	Are you a Tax Filer? Yes No					
j)	National Tax No. (NTN)					
k)	Education Below Matric Matric/O Le Professional Postgraduat		evel Graduate			
l)	Purpose of Account:	Trading				
	Mode of Transactions: Online	Offline	Both			
n)		Expected Monthly turnover/Trading Value:				
,	Expected Monthly turnover/Trading Value:					
n) o) i)	Expected Monthly turnover/Trading Value: Information Regarding Business/ Employment Nature of Business of Employer/Business: Geographical Locations covered by business:					
m) n) o) i) ii)	Information Regarding Business/ Employment Nature of Business of Employer/Business:					